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| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> | Atty. Docket No.: 110.0050 0104                         | Serial No.: 10/809,660 |
|   | Applicant(s): PUI et al.                                | Confirmation No.: 7495 |
|   | Application Filing Date: 03/25/04                       | Group: 1762 1636       |
|   | Information Disclosure Statement mailed: March 24, 2006 |                        |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Copy<br>Enclosed | Document Number | Date     | Name            | Class | Subclass | Filing Date If<br>Appropriate |
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| JK                  |                  | 4,749,125       | 06/07/88 | Escallon et al. |       |          |                               |
|                     |                  | 6,096,070       | 08/00    | Ragheb et al.   |       |          |                               |
|                     |                  | 6,517,888       | 02/03    | Weber           |       |          |                               |
|                     |                  | 6,669,980       | 12/30/03 | Hansen          |       |          |                               |
|                     |                  | 6,743,463       | 06/01/04 | Weber et al.    |       |          |                               |
|                     |                  | 2003/0161937 A1 | 08/03    | Leiby et al.    |       |          |                               |
|                     |                  | 2004/0200729 A1 | 10/14/04 | Boulais et al.  |       |          |                               |
|                     |                  | 2004/0234748 A1 | 11/25/04 | Stenzel         |       |          |                               |
|                     |                  | 2005/0175772 A1 | 08/11/05 | Worsham et al.  |       |          |                               |

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| Examiner<br>Initial | Copy<br>Enclosed | Document Number | Date | Country | Class | Subclass | Translation |    |
|---------------------|------------------|-----------------|------|---------|-------|----------|-------------|----|
|                     |                  |                 |      |         |       |          | Yes         | No |
|                     |                  | NONE            |      |         |       |          |             |    |
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## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

| Examiner<br>Initial | Copy<br>Enclosed | Document Description   |
|---------------------|------------------|--|
| JK                  | *                | www.crititech.com/technology Website dated 06/15/02, 5 pages |
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| <b>EXAMINER</b><br><br>/James Ketter/  | <b>Date Considered</b><br><br>01/26/2007 |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |

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|   | Applicant(s): PUI et al.                                | Confirmation No.: 7495 |
|   | Application Filing Date: 03/25/04                       | Group: 1762            |
|   | Information Disclosure Statement mailed: April 10, 2006 |                        |

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|---------------------|------------------|-----------------|------|------|-------|----------|-------------------------------|
|                     |                  | NONE            |      |      |       |          |                               |
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|                     |                  |                 |          |         |       |          | Yes         | No |
|                     | *                | 97/49484        | 12/31/97 | WO      |       |          |             |    |
|                     | *                | 0 405 884 A     | 01/02/91 | EP      |       |          |             |    |
|                     | *                | 550 022 A       | 06/14/74 | CH      |       |          |             | *  |
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**DUPLICATE**

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|   | Information Disclosure Statement mailed: April 10, 2006 |                        |

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|   | Applicant(s): PUI et al.                                | Confirmation No.: 7495      |
|   | Application Filing Date: 03/25/04                       | Group: <del>1762</del> 1636 |
|   | Information Disclosure Statement mailed: April 10, 2006 |                             |

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|                     |                  | NONE            |      |      |       |          |                               |
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| JK                  | ✗                | 0 405 884 A     | 01/02/91 | EP      |       |          |             |    |
| JK                  | ✗                | 550 022 A       | 06/14/74 | CH      |       |          |             | ✗  |
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| <b>EXAMINER</b><br>/James Ketter/   | <b>Date Considered</b><br>01/26/2007 |
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| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> | Atty. Docket No.: 110.0050 0104                         | Serial No.: 10/809,660      |
|   | Applicant(s): PUI et al.                                | Confirmation No.: 7495      |
|   | Application Filing Date: 03/25/04                       | Group: <del>4762</del> 1636 |
|   | Information Disclosure Statement mailed: April 19, 2006 |                             |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Copy<br>Enclosed | Document Number | Date     | Name           | Class | Subclass | Filing Date If<br>Appropriate |
|---------------------|------------------|-----------------|----------|----------------|-------|----------|-------------------------------|
| JK                  |                  | 6,126,086       | 10/03/00 | Browner et al. |       |          |                               |
|                     |                  |                 |          |                |       |          |                               |
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| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> | Atty. Docket No.: 110.00500104                          | Serial No.: 10/809,660      |
|   | Applicant(s): PUI et al.                                | Confirmation No.: 7495      |
|   | Application Filing Date: 03/25/04                       | Group: <del>1762</del> 1636 |
|   | Information Disclosure Statement mailed: August 9, 2006 |                             |

### U.S. PATENT DOCUMENTS

| Examiner Initial | Copy Enclosed | Document Number | Date     | Name               | Class | Subclass | Filing Date If Appropriate |
|------------------|---------------|-----------------|----------|--------------------|-------|----------|----------------------------|
| JK               |               | 6,861,088       | 03/01/05 | Weber et al.       |       |          |                            |
|                  |               | 6,989,169 B2    | 01/24/06 | Ripoll et al.      |       |          |                            |
|                  |               | 2004 0069632A1  | 04/15/04 | Ripoll et al.      |       |          |                            |
|                  |               | 2004/0161498A1  | 08/19/04 | Ripoll et al.      |       |          |                            |
|                  |               | 2005/0116070 A1 | 06/02/05 | Ganan Calvo et al. |       |          |                            |
|                  |               | 2006/0057259A1  | 03/16/06 | Ripoll et al.      |       |          |                            |

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| Examiner Initial | Copy Enclosed | Document Number | Date     | Country                                   | Class | Subclass | Translation |    |
|------------------|---------------|-----------------|----------|---|-------|----------|-------------|----|
|                  |               |                 |          |   |       |          | Yes         | No |
| JK               | X             | 2 435 721       | 08/08/02 | CA  |       |          |             |    |
|                  | X             | 2 436 524       | 08/08/02 | CA  |       |          |             |    |
|                  | X             | 1 364 718 A1    | 11/26/03 | EP  |       |          |             |    |
|                  | X             | 2 180 405       | 02/01/03 | ES (English translation of Abstract Only) |       |          |             | X  |
|                  | X             | 2004 531365     | 10/14/04 | JP (English translation of Abstract Only) |       |          |             | X  |
|                  | X             | PA03006862A     | 10/15/04 | MX (English translation of Abstract Only) |       |          |             | X  |
|                  | X             | 2002/060275A1   | 08/08/02 | WO  |       |          |             |    |
|                  | X             | 2002/060591A1   | 08/08/02 | WO  |       |          |             |    |

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**INFORMATION  
DISCLOSURE  
STATEMENT**

Atty. Docket No.: 110.00500104

Serial No.: 10/809,660

Applicants: PUI et al.

Confirmation No.: 7495

Application Filing Date: 03/25/04

Group: 4762

Information Disclosure Statement mailed:

September 13, 2006

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| Examiner Initial | Copy Enclosed | Document Number | Date     | Name               | Class | Subclass | Filing Date If Appropriate |
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| JK               |               | 6,143,037       | 11/07/00 | Goldstein et al.   |       |          |                            |
|                  |               | 6,207,195       | 03/27/01 | Walsh et al.       |       |          |                            |
|                  |               | 6,277,448       | 08/21/01 | Strutt et al.      |       |          |                            |
|                  |               | 6,579,573       | 06/17/03 | Strutt et al.      |       |          |                            |
|                  |               | 6,632,671       | 10/14/03 | Unger              |       |          |                            |
|                  |               | 6,645,569       | 11/11/03 | Cramer et al.      |       |          |                            |
|                  |               | 6,670,607       | 12/30/03 | Wood et al.        |       |          |                            |
|                  |               | 6,737,463       | 05/18/04 | Yadav et al.       |       |          |                            |
|                  |               | 6,933,331       | 08/23/05 | Yadav et al.       |       |          |                            |
|                  |               | 2002/0151004    | 10/17/02 | Craig              |       |          |                            |
|                  |               | 2004/0081745    | 04/29/04 | Hansen             |       |          |                            |
|                  |               | 2005/0015046    | 01/20/05 | Weber et al.       |       |          |                            |
|                  |               | 2005/0023368    | 02/03/05 | Valpey, III et al. |       |          |                            |
|                  |               | 2005/0055078    | 03/10/05 | Campbell           |       |          |                            |
|                  |               | 2005/0064008    | 03/24/05 | Bucay-Couto et al. |       |          |                            |
|                  |               | 2005/0074478    | 04/07/05 | Ofstead et al.     |       |          |                            |
|                  |               | 2005/0075714    | 04/07/05 | Cheng et al.       |       |          |                            |
|                  |               | 2005/0101020    | 05/12/05 | Salem et al.       |       |          |                            |
|                  |               | 2005/0149177    | 07/07/05 | Weber et al.       |       |          |                            |
|                  |               | 2005/0158372    | 07/21/05 | O'Leary et al.     |       |          |                            |
|                  |               | 2005/0222485    | 10/06/05 | Shaw et al.        |       |          |                            |
|                  |               | 2005/0277577    | 12/15/05 | Hunter et al.      |       |          |                            |
|                  |               | 2006/0002973    | 01/05/06 | Barry et al.       |       |          |                            |

**EXAMINER**

/James Ketter/

**Date Considered**

01/26/2007

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|   | <b>Applicants:</b> PUI et al.                                      | <b>Confirmation No.:</b> 7495 |
|   | <b>Application Filing Date:</b> 03/25/04                           | <b>Group:</b> 1762            |
|   | <b>Information Disclosure Statement mailed:</b> September 13, 2006 |                               |

| Examiner Initial | Copy Enclosed | Document Number | Date     | Name              | Class | Subclass | Filing Date If Appropriate |
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| JK               |               | 2006/0024810    | 02/02/06 | Khadkikar et al.  |       |          |                            |
| JK               |               | 2006/0038027    | 02/23/06 | O'Connor et al.   |       |          |                            |
| JK               |               | 2006/0062820    | 03/23/06 | Gertner et al.    |       |          |                            |
| JK               |               | 2006/0067968    | 03/30/06 | Chudzik et al.    |       |          |                            |
| JK               |               | 2006/0078922    | 04/13/06 | Edwards et al.    |       |          |                            |
| JK               |               | 2006/0088566    | 04/27/06 | Parsonage et al.  |       |          |                            |
| JK               |               | 2006/0099235    | 05/11/06 | Blakstvedt et al. |       |          |                            |
| JK               |               | 2006/0100568    | 05/11/06 | Tan               |       |          |                            |

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| JK               | X             | 1997/13503      | 04/17/97 | WO  |       |          |             |    |
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| JK               | X             | Salata. "Tools of Nanotechnology: Electrospray," 2005. <i>Current Nanoscience</i> , Volume 1, Number 1, pp. 25-33(9)  |
| JK               | X             | Shi et al., "Current advances in sustained-release system for parenteral drug delivery," 2005. <i>Expert Opinion on Drug Delivery</i> , Vol. 2, No. 6. Abstract Only. |

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